TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 134778-1 In Re Application Of: Dean, et al. FEB 0 2 2004 Serial No. Filing Date Examiner **Group Art Unit** 10/748,372 12/30/2003 **NYA NYA** Title: WATER RESISTANT PERMANENT ANTISTATIC THERMOPLASTIC COMPOSITION Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

4

TRANSMITTAL O	Docket No. 134778-1							
In Re Application: Dean, et al. FEB 0 2 2004								
Serial No.	Filing Date	Examiner	Group Art Unit					
10/748,372								
WATER RESISTANT PERMANENT ANTISTATIC THERMOPLASTIC COMPOSITION								
		Payment of Fee and elects to pay the fee set forth in 37 (
□ A check in the amount of is attached. □ The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below. □ Charge the amount of □ Credit any overpayment. □ Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: Continue								
Typed or Printed l	Name of Person Signing Certificate	Typed or Printed Name	of Person Mailing Certificate					
*This certificate may only be used if paying by deposit account. Dated: January 30, 2004 Signature								
Roberta L. Pelletier Registration No. 46,372								
Customer No. 23413								
(860) 286-2929								

j

	- .					134778-1		ERIAL NO. 1 0 /	748,372		
, ,	9,3	3 W	ATION DISCLOSURE Is several sheets if necess			Dean, et al.					-
- 07	0 2 2004				FILING		ROUP		-	_	
FEB "		,				12/30/200	13		NYA		_
A (C	178.0g	<u> </u>	·	U.S	S. PATENT	DOCUMENTS					
EXAMENT E			DOCUMENT NUMBER	DOCUMENT NUMBER DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE 08/22/1957		
	1	3,10	69,121	02/09/1965	Goldberg		260	47			_
	2	3,63	35,895	01/18/1972	Kramer		260	47 XA 10/31/196		69	
	3	4,00	01,184	01/04/1977	Scott		260	47 XA	03/31/19	75	
	4	4,48	87,896	12/11/1984	Mark, et al.		525	439	09/02/1983		
	5	4,69	90,688	09/01/1987	Adams, et al		44	76	02/24/1986		
	6	4,91	14,150	04/03/1990	Prier Sugiur,a et al.		524	701 8.7	12/02/1998		
	7	4,94	43,380	07/24/1990			252				
	8	5,32	22,882	06/21/1994	Okamo	to	524	265 265	01/15/1993		
	9	5,3'	76,715	12/27/1994	Fennho	ff, et al.	524				
	10	5,62	20,485	04/15/1997	Fey		44	320	12/15/1995		
	11	5,852,098		12/22/1998	Kohler, et al.		524	494	04/08/1996		
				FORE	IGN PATE	NT DOCUMENTS					
			DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION NO	_
	12	EP	0 897 950	24.02.1999	European International International					J	
	13	wo	0 01/25326	12.04.2001						J	
	14	wo	0 01/49925	12.07.2001						J	
			OTHER DOCUME	•	•	, Title, Date, Pertinei	,	_			
		Yuan, et al., "Surface Enrichment of Poly(trifluorovinyl ether)s in Polystyrene Blends", Macromolecules 2000, 33, pgs 4926-4931									
		Guo, et al., "Surface characterization of blood compatible amphiphilic graft copolymers having uniform poly(ethylene oxide)									
		16	side chains", Polymer 42	2 (2001), pgs 101	7-1022			- '	- • • •	,	
EXAMINE	R		•			DATE CONSIDERED					
			ference considered, whethe			nance with MPEP 609; Dra	aw line through ci	tation if not in c	onformance	and not	_
					-						

Form PTO-A820 (also form PTO-1449)

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.	SERIAL NO.
134778-1	10/748,372
Dean, et al.	
FILING	GROUP

201	nna 🖺			12/30/20	NYA					
U.S. PATENT DOCUMENTS										
EXAMINER STATE		DOCUMENT NUMBER	DATE	DATE NA		CLASS	SUBCLASS	FILING DAT		
	17	6,056,900	05/02/2000	Shimizu	, et al.	264	2.6	10/16/1997		
	18	6,090,907	07/18/2000	Saito, et	al.	528	198	09/25/19	09/25/1998	
	19	6,133,370	10/17/2000	Gutek,	et al.	524	588	02/22/1999		
	20	6,187,888	02/13/2001	Shimizu	, et al.	526	314	09/22/1999		
	21	6,194,497	02/27/2001	Willems	, et al.	524	165	07/23/1997		
	22	US 20030065071A	3 20030065071A 04/03/2003 Schol		1	524	136	04/24/2002		
								,		
			FORE	IGN PATE	NT DOCUMENTS		•	•		
		DOCUMENT NUMBER DATE			COUNTRY CLASS			SUBCLASS TRANSLATI		
	ļ									
		OTHER DOCUM	•		, Title, Date, Pertin	•				
	Torstensson, et al., "Monomeric Surfactants for Surface Modification of Polymers", Macromolecules 1990, 23, pgs 126-132								126-132	
	Walton, et al., "Creation of Stable Poly(ethylene oxide) Surfaces on Poly(methyl methacrylate) Using Blends of Branched and Linear Polymers", Macromolecules 1997, 30, pgs 6947-6956									
EXAMINE		<u> </u>			DATE CONSIDERED		<u>.</u>			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

				_	ATTY DOCKET NO. 134778-1	SERIAL NO. 10/748,372					
JCB FORMATION DISCLOSURE CITATION (Use several sheets if necessary)						Dean, et al.					
(Use several sheets if necessary) The name of the control of the					FILING 12/30/200	3	GROUP NYA				
(ED)		A) COM		U.S.	. PATENT	DOCUMENTS					
EXAMINE INITIAL	~~ ~		DOCUMENT NUMBER			NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
					•"						
-											
											
											
							- 				
	ı	<u> </u>	eric vice vice vice vice vice vice vice vi	FOREI	GN PATE	NT DOCUMENTS			1		
			DOCUMENT NUMBER DATE			COUNTRY	CLASS	SUBCLASS	TRANS	LATION NO	
-		-									
		<u> </u>									
		<u> </u>	OTHER DOCUME	I Including	g Author	, Title, Date, Pertiner	nt Pages, Etc	 :.)	<u> </u>		
Anastasiadis, et al., "Smart Polymer Surfaces", Macromolecules 2003, 36, pgs 1994-1999							······································				
		25									
		26	Abstract JP9279010, "F	Polycarbonate Res	in Compo	sition and Molded Prod	uct Thereof",	Publication Dat	e 19971028		
EXAMINE	I R	i	1			DATE CONSIDERED			-		
EXAMIN	ER: Init	ial if re	ference considered, whether	er or not citation is i	in conform	ance with MPEP 609: Dra	aw line through	citation if not in c	onformance	and not	
			py of this form with next co								

Form PTO-A820 (also form PTO-1449)